

## GLENN | PATENT | GROUP

## FACSIMILE TRANSMITTAL SHEET

TO:

Examiner Hyung Sough

FROM: KRISTIANNE SERRANO

650/474-8400 650/474-8401 (Fax)

COMPANY:

USPTO

DATE 7/15/2004

FAX NUMBER:

703-746-8177

TOTAL NO. OF PAGES INCLUDING COVER: 13

PHONE NUMBER:

SENDER'S REFERENCE NUMBER:

EXPO0001

RE: EXPO0001 09/412,042

YOUR REFERENCE NUMBER:

09/412,042

☒ URGENT☐ FOR REVIEW☐ PLEASE COMMENT☐ PLEASE REPLY☐ PLEASE RECYCLE

Mr. Sough,

Please see the attached response to office action and our previous transmittals and confirmations and the screen print from PAIR.

Thank you,

Kristianne Serrano


3240-304-7588

Am. 1/2  
1-800-666  
7724

20583

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Date of Deposit:	7/15/2004	Name of Person Making the Deposit:	Kristianne Serrano
		Signature of the Person Making the Deposit:	

In re Application of Martin

Application No: 09/412,042

Filed: 10/4/1999

Title: TRADE FINANCE AUTOMATION SYSTEM

Atty. Docket No: EXPO0001

Art Unit: 3628

Examiner: Frantzy Poinvil

Commissioner for Patents  
Mail Stop Non Fee Amendment  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Re: Response and Petition for Extension (Fourth Transmission)**

To: United States Patent and Trademark Office  
Fax: (703) 308-3687  
Attention: Examiner Frantzy Poinvil, Art Unit 3628

Pages (including coversheet): 22

We have copied the supervisor, Mr. Hyung Sough, that this is our fourth transmission .

***Attached to this facsimile coversheet please find the following documents:***

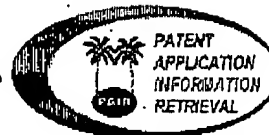
- Fax transmittals showing the transmission were received (4 pages)
- PAIR printout showing the case has been docketed to the Examiner (2 pages)
- Response to Office Action (12 pages)
- Petition for Extension of Time (1 sheet, in duplicate)

PAIR Page

Page 1 of 2



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**PATENT APPLICATION INFORMATION RETRIEVAL**

Search results for application number: 09/412,042			
Application Number:	09/412,042	Customer Number:	22862
Filing or 371(c) Date:	10-04-1999	Status:	Non Final Action Mailed
Application Type:	Utility	Status Date:	09-02-2003
Examiner Name:	POINVIL, FRANTZY	Location:	TC 3600 SPE OF GAU ASSIGNED
Group Art Unit:	3628	Location Date:	05-28-2004
Confirmation Number:	9151	Earliest Publication No:	-
Attorney Docket Number:	EXPO0001	Earliest Publication Date:	-
Class/ Sub-Class:	705/037	Patent Number:	-
First Named Inventor:	ROBERT S. MARTIN, MILL VALLEY, CA (US)	Issue Date of Patent:	-
Title Of Invention:	TRADE FINANCE AUTOMATION SYSTEM		

## Search Options

<a href="#">Assignments</a>
<a href="#">Continuity Data</a>
<a href="#">Publication Review</a>

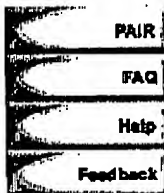
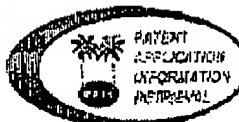
File History	
Date	Contents Description
05-28-2004	Case Docketed to Examiner in GAU
09-03-2003	Mail Non-Final Rejection
08-25-2003	Non-Final Rejection
08-25-2003	Date Forwarded to Examiner
12-20-2002	Response after Non-Final Action
01-31-2003	Date Forwarded to Examiner
12-20-2002	Supplemental Response
12-20-2002	Oath or Declaration Filed (Including Supplemental)
01-02-2003	Date Forwarded to Examiner
12-20-2002	Supplemental Response
12-20-2002	Oath or Declaration Filed (Including Supplemental)
09-25-2002	Mail Non-Final Rejection
09-20-2002	Non-Final Rejection
06-28-2002	Case Docketed to Examiner in GAU



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## PATENT APPLICATION INFORMATION RETRIEVAL

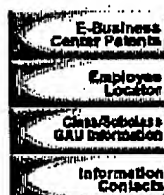


## PTO Employee Information Screen

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POINVIL,FRANTZY	(703)305-9779		P/3628	TC 3600 - GROUP ART UNIT 3628

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05/28/2004 FRI 14:43 FAX 650 474 8401

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Date of Deposit	5/28/2004	Name of Person Making the Deposit	Cheryl A. Petersen	Signature of the Person Making the Deposit	<i>cpetersen</i>
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In re Application of Martin

Application No: 09/412,042

Filed: 10/4/1999

Title: TRADE FINANCE AUTOMATION SYSTEM

Atty. Docket No: EXPO0001

Art Unit: 3628

Examiner: Frantzy Poinvil

Commissioner for Patents  
 Mail Stop Non Fee Amendment  
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Re: Response and Petition for Extension (Third Transmission)

To: United States Patent and Trademark Office  
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 Attention: Examiner Frantzy Poinvil, Art Unit 3628

USPTO 3/5/2004 1:53 PM PAGE 1/001 Fax Server  
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## Auto-Reply Facsimile Transmission



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Fax Information

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17 (including cover page)

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Date of Deposit	3/5/2004	Name of Person Submitting the Deposit	Rhonda Dunn
Where Made		Signature of the Person Submitting the Deposit	[Signature]

It is Application of Martin

Application No: 08/412,042

Filed: 10/4/1999

Title: TRADE FINANCE AUTOMATION SYSTEM

Att. Docket No: EXPC0001

Art Unit: 3628

Examiner: Pedro Kanof

March 5, 2004

TO: Pedro Kanof, Art Unit 3628  
 Fax: (703) 672-6306  
 Pages (including coversheet): 17

Re: Second Transmission of Response and Petition for Extension of Time

Dear Examiner Kanof:

On January 29, 2004 we faxed the Response and Petition for Extension of Time to your attention at fax #703-308-3687, which is the fax number for faxing communications to us shown in the office action. Your called our office this morning to inform us that you did not receive our fax. Hereewith, I am faxing the Response, the Petition and a copy of our fax confirmation to you, which were originally faxed on January 29, 2004.

[Signature]  
 Rhonda Dunn  
 Patent Administrator  
 Glenn Patent Group

PAGE 1/17 \* RCVD AT 3/5/2004 1:34:58 PM [Eastern Standard Time] \* SVR:USPTO-EFXXF-2/1 \* DNIS:7468177 \* CSID:650 474 8401 \* DURATION (mm:ss):06:26

03/05/2004 FRI 10:38 FAX 650 474 8401

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Date of Deposit:	3/5/2004	Name of Person Making the Deposit:	Rhonda Dunn	Signature of the Person Making the Deposit:	<i>Rhonda Dunn</i>
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In re Application of Martin

Application No: 09/412,042

Filed: 10/4/1999

Title: TRADE FINANCE AUTOMATION SYSTEM

) Atty. Docket No: EXPO0001

) Art Unit: 3628

) Examiner: Pedro Kanof

March 5, 2004

TO: Pedro Kanof, Art Unit 3628

Fax (703) 872-9306

Pages (including coversheet): 17

Re: Second Transmission of Response and Petition for Extension of Time

Dear Examiner Kanof:

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Date: 2/3/04

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In re Application of Martin

Atty. Docket No: EXPO0001

Application No: 09/412,042

Art Unit 3628

Filed: 10/4/1999

Examiner: Pedro Kanof

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Mail Stop Non Fee Amendment  
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Alexandria, VA 22313-1450

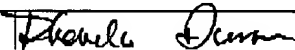
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To: United States Patent and Trademark Office  
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Attention: Pedro Kanof, Art Unit 3628

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In re Application of Martin	)	Atty. Docket No: EXPO0001
Application No: 09/412,042	)	Art Unit: 3628
Filed: 10/4/1999	)	Examiner: Pedro Kanof
Title: TRADE FINANCE AUTOMATION SYSTEM	)	

March 5, 2004

TO: Pedro Kanof, Art Unit 3628  
Fax: (703) 872-9306  
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Rhonda Dunn  
Patent Administrator  
Glenn Patent Group